

比較政治經濟學專題：

COMPARATIVE POLITICAL ECONOMY:

STATES AND MARKETS

Spring Semester, 2003

Department of Sociology, Tunghai University

Tuesday 2:10-5:00

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COURSE DISCRITION AND OBJECTIVES

Political economy is the study of decentralized and centralized mechanisms for allocating resources and distributing incomes: markets and states. Both markets and states can be organized and combined in a variety of ways and they jointly determine what the economy produces as well as who gets what. The purpose of this course is to introduce the students to the concepts and tools for studying relations between states and markets. This course builds on the proposition that the study of politics and economics has evolved into political economy in a number of significant ways, and that the new issues and ideas that became prominent in the 1980s and 1990s will carry on into the new millennium. Thus, the aim of this course is to provide a comprehensive introduction to the study of states and markets in “varieties of capitalism”. Along this course we shall use studies of political and economic structures and actors, and case studies of policy-making processes in order to explore the major concepts, approaches and issues in the field and apply them to the study of the challenges currently facing Taiwan.

CLASS CITIZENSHIP

In a seminar course of this sort, it is my wish that I want the sessions and discussions

to be as stimulating and exciting as possible, with a collegial and supportive atmosphere. Pedagogically, this seminar is dedicated to the proposition that knowledge is a collective product. This intellectual journey is intended to be collective; each participant (including me) is expected to contribute to our discussions and debates. Good seminars depend to a great extent on the seriousness of preparation by students. Let us all be good and responsible class citizens to make contributions as much as possible.

REQUIREMENTS AND GRADING

All participants will be expected to: 1) take an active part in discussions; 2) prepare ten memos on the week's required readings (1-2 pages each); 3) make at least three presentations on the readings to the seminar during the semester; 4) Term paper (20-25 pages). In addition, there are some assignments to be done, the specifics of the assignment will be announced in due course in class meetings.

Seminar Presentations: Each week two students will serve as discussion leaders. These presentations should be 20-25 minutes long and should try to establish a focused agenda for the discussion which follows. The point of the presentation is not to comprehensively summarize the readings, but to provide a critical evaluation, focusing on the strengths and weakness of the arguments/analyses, comparing different perspectives, and highlighting the most important issues and questions they raise as a way of launching the day's discussion.

Weekly Issue Memo: I believe strongly that it is important for students to engage the week's readings in written form **prior** to the seminar sessions. These weekly memos are intended to prepare the ground for good discussions by requiring participants to set out their initial responses to the readings which will improve the quality of the class discussion since students come to the sessions with an already thought out agenda. *This is a requirement for all auditors as well as students taking the seminar for credit.*

I refer to these short written comments as "*issue memos*". They are **not** meant to be mini-papers on the readings; nor need they summarize the readings as such. Rather, they are meant to be a think piece, reflecting your own intellectual engagement with the material: specifying what is obscure or confusing in the reading; taking up issue with some core idea or argument; exploring some interesting ramification of an idea

in the reading. These memos do not have to deal with the most profound, abstract or grandiose arguments in the readings; the point is that they should reflect what you find most engaging, exciting or puzzling, and above all: *what you would most like to talk about in the seminar discussion*. These interrogations will form a substantial basis for the seminar discussion: I will read them and distill the issues into an agenda for each session. It is therefore to take this task seriously.

We will arrange to share these memos through e-mail, and the week's presenters, if s/he likes, can use other students' comments to prepare an agenda for discussion. In order for everyone to have time to read over other's comments, these will be due on e-mail by 7:00 pm on Thursday evening (the day before the seminar meets). *These memos are a real requirement*, and failing to hand in memos will affect your grade. I will read through the memos to see if they are "serious", but not grade them for "quality". Since the point of this exercise is to enhance discussions, late memos will not be accepted. If you have to miss a seminar session for some reason, you are still required to prepare an issue memo for that session. Since I may not total the number of memos each student writes until the end of the semester, please keep copies to be sure of fulfilling the requirements.

Your **final grade** is based on:

Class Participation and Discussion: 15%

Presentation: 15 %

Weekly issue memo: 30%

Term paper: 40%

REQUIRED TEXTBOOKS

Tilly, Charles. 1984. *Big Structures, Large Processes, Huge Comparisons*. New York: Russell Sage Foundation.

Schwartz, Herman. 2000. *States versus Markets: The Emergence of a Global Economy*. New York: Palgrave Macmillan.

Streeck, Wolfgang and Kozo Yamamura. 2001. *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*. Ithaca and London: Cornell University Press.

Hobson, John. 1997. *The Wealth of States: A Comparative Sociology of International Economic and Political Change*. Cambridge: Cambridge University Press.

- Woo-Cumings, Meredith, ed. 1999. *The Developmental State*. Ithaca: Cornell University Press.
- Weiss, Linda. 2002. *States in the Global Economy: Bringing Domestic Institutions Back In*. Cambridge: Cambridge University Press.

RECOMMENDED TEXTBOOKS

- Spero, Joan E. and Jeffrey A. Hart. 1997. *The Politics of International Economic Relations*. New York: St. Martin's.
- Frieden, Jeffrey A. and David A. Lake. eds. 1995. *International Political Economy: Perspectives on Global Power and Wealth*. New York: St. Martin's Press. 1995
- Crane, George T. and Abla Amawi, eds. 1991. *The Theoretical Evolution International Political Economy*. Oxford: Oxford University Press.
- Basu, Kaushik, ed. 2003. *Readings in Political Economy*. Oxford, U.K.: Blackwell.
- Chilcote, Ronald. 2000. *Theories of Comparative Political Economy*. Boulder: Westview
- Dore, Ronald. 2000. *Stock Market Capitalism: Welfare Capitalism: Japan and Germany versus the Anglo-Saxons*. Oxford: Oxford University Press.
- Przewoski, Adam. 2003. *States and Markets: A Premier in Political Economy*. Cambridge: Cambridge University Press.
- Fields, Karl. 1995. *Enterprise and the State in Korea and Taiwan*. Ithaca: Cornell University Press.
- Gilpin, Robert. 1987. *The Political Economy of International Relations*. Princeton: Princeton University Press.

SEMINAR SESSIONS & READING ASSIGNMENTS

PART I: BROAD THEORETICAL FRAMEWORKS FOR COMPARATIVE POLITICAL ECONOMY

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| Week 1. (2/10) | Course Introduction |
| Week 2. (2/17) | Historical Comparative Method (I): Charles Tilly |
| Week 3 (2/24) | Historical Comparative Method (II): Charles Tilly |
| Week 4. (3/2) | States/Markets Nexus (I): Herman Schwartz |

- Week 5 (3/9) States/Markets Nexus (II): Herman Schwartz**
Week 6 (3/16) States/Markets Nexus (III): Herman Schwartz

PART II: VARIETIES OF CAPITALISMS

- Week 7 (3/23) An Introduction to Varieties of Capitalism: Peter Hall and David Soskice, Wolfgang Streeck**
Week 8 (3/30) The Origin of Nonliberal Capitalism: Germany and Japan in Comparison
Week 9 (4/6) Historical Sociology of The Wealth of States (I): John Hobson
Week 10 (4/13) Mid-term exam (No Class)
Week 11 (4/20) Historical Sociology of The Wealth of States (II): John Hobson
Week 12 (4/27) Historical Sociology of The Wealth of States (III): John Hobson

PART III. STATE-LED CAPITALISM: THE DEVELOPMENTAL STATE REVISITED

- Week 13 (5/4) The Developmental State Paradigm Revisited: Chalmer Johnson, Meredith Woo-Cumings, Bruce Cumings**
Week 14 (5/11) The Taiwanese Developmental State
Week 15 (5/18) Spring Exercise Day (No Class)
Week 16 (5/25) States in the Global Economy (I): The Resilience of Welfare States
Week 17 (6/1) States in the Global Economy (II): Governing Globalization
Week 18 (6/8) The Rise of Neoliberalism and Institutional Analysis
Week 19 (6/15) Final Exam (No Class)

SEMINAR SESSIONS & READING ASSIGNMENTS

PART I: BROAD THEORETICAL FRAMEWORKS FOR COMPARATIVE POLITICAL ECONOMY

“Sociology is, to some degrees, failed history.”

Charles Tilly (sociologist), *As Sociology Meets History*, p. 214.

“Today there are few ‘historical’ facts that have not been infused by (mostly sociological) theory.”

Terrence McDonald (historian), “What We Talk About When We Talk About History.” P. 15.

Week 1. (2/10) Course Introduction

Week 2. (2/17) Historical Comparative Method (I): Charles Tilly

Comparative historical analysis has a long and distinguished history in the social sciences. Those whom we now regard as the founders of modern social sciences, from Adam Smith to Alexis de Tocqueville to Karl Marx to Max Weber, all pursued comparative historical analysis as a central mode of investigation. After some period of neglect, comparative-historical sociology has experienced a resurgence over the past few decades and the revival of comparative historical analysis shows few signs of losing momentum. In this course, while time does not allow us to engage all the relevant issues in a sweeping manner, we will, however, survey some important methodological statements about historical-comparative sociology addressed by Charles Tilly in his short and brilliant book, *Big Structures, Large Processes, Huge Comparisons*. A list of exemplars of comparative and Historical sociology works will be provided for your reference.

Background Readings:

Abrams, Philip. 1982. *Historical Sociology*. Ithaca: Cornell University Press.

Skocpol, Theda. 1984. “Sociology’s Historical Imagination,” and “An Annotated Bibliography on Methods of Comparative and Historical Sociology.” Pp. 1-21 and pp392-403 in *Vision and Method in Historical Sociology*, edited by Theda Skocpol. Cambridge: Cambridge University Press.

Mahoney, James and Dietrich Rueschemeyer. 2003. "Comparative Historical Analysis: Achievements and Agendas." Pp. 3-40 in *Comparative Historical Analysis in the Social Sciences*, edited by James Mahoney and Dietrich Rueschemeyer. Cambridge: Cambridge University Press.

Core Readings:

Tilly, Charles. 1984. *Big Structures, Large Processes, Huge Comparisons*. New York: Russell Sage Foundation. Pp. 1-59

Week 3 (2/24) Historical Comparative Method (II): Charles Tilly

Core Readings:

Tilly, Charles. 1984. *Big Structures, Large Processes, Huge Comparisons*. New York: Russell Sage Foundation. Pp. 60-147

Further Readings on Comparative Historical Methods:

Tilly, Charles. 1981. *As Sociology Meets History*. New York: Academic.

Ragin, Charles. 1987. *The Comparative Method: Moving beyond Quantitative and Qualitative Strategies*. Berkeley: University of California Press.

Skocpol, Theda. 1984. "Emerging Agendas and Recurrent Strategies in Historical Sociology." Pp. 356-91 in *Vision and Method in Historical Sociology*, edited by Theda Skocpol. Cambridge:

Skocpol, Theda. 2003. "Doubly Engaged Social Science: The Promise of Comparative Historical Analysis." Pp. 407-429 in *Comparative Historical Analysis in the Social Sciences*, edited by James Mahoney and Dietrich Rueschemeyer. Cambridge: Cambridge University Press.

Mahoney, James and Dietrich Rueschemeyer, eds. 2003. *Comparative Historical Analysis in the Social Sciences*. Cambridge: Cambridge University Press.

A Short List of Exemplar Works of Comparative Sociological Works

Anderson, Perry. 1974. *Passages from Antiquity to Feudalism*. London: New Left Books.

Anderson, Perry. 1974. *Lineages of the Absolutist State*. London: New Left Books.

Tilly, Charles. 1990. *Coercion, Capital, and European States, AD 990-1990*. Cambridge and Oxford: Blackwell.

- Mann, Michael. 1993. *The Sources of Social Power*, vol.II: *The Rise of Classes and Nation-states, 1760-1914*. Cambridge: Cambridge University Press.
- Moore, Barrington. 1966. *Social Origins of Dictatorship and Democracy: Lord and Peasant in the Making of the Modern World*. Boston: Beacon Press.
- Skocpol, Theda. 1979. *States and Social Revolutions: A Comparative Analysis of France, Russia and China*. Cambridge: Cambridge University Press.
- Wallerstein, Immanuel. 1973. *The Modern World Systems* 3volumes. New York: Academic Press.

When you read these exemplars of comparative and historical sociology, you can focus on the following issues:

1. What problem is being addressed in a given work, and what kind of knowledge is the investigator seeking to develop?
2. In what ways and for what purposes does the investigator draw upon general theories, formal models, competing hypotheses, historically-bounded concepts, or ideal types?
3. How is the research designed? Where comparisons across historical trajectories are made, for what purpose is this done? Have appropriate units of analysis and historical cases been chosen for the comparison? If a study focuses only on a single instance, how is theoretical or conceptual leverage gained in relation to the case?
4. Has the investigator chosen appropriate kinds of evidence and analyzed them in reliable and valid ways? Have possible biases in primary sources been taken into account? Has secondary evidence been properly gathered and assessed? Are quantitative analyses or qualitative illustrations deployed effectively? Are conclusions derived from observations on appropriate concrete units or processes in history?
5. What mixture of chronological narrative and topical analysis is used in the written presentation? Does it effectively convey the evidence and the argument, or are unnecessary confusions created? Do inappropriate modes of presentation serve to disguise inadequacies of evidence or lack of pointedness in the argument?
6. Based on the readings, consider: what is the relation between history and sociology? Between theory and “facts”? How do “time and place” make a difference in sociological research? What are the distinctive features of comparative-historical sociology?

Exercise: Using Secondary Sources

Historical sociologists often define research questions that allow—or

require—they to draw evidence from previously published books or articles. These “secondary sources” report research by historians or area specialists, research on other questions and on more specialized topics than those being pursued by the historical sociologists who draws evidence from them. Unfortunately, there are no well-established rules or conventions about the use of secondary sources. A methodological literature about the uses and misuses of secondary evidence has yet to develop in sociology.

Consequently, we shall sensitize ourselves to the issues involved in using and citing secondary sources by doing this exercise. Read the chapters on England and France in Moore’s *Social Origins of Dictatorship and Democracy*, Anderson’s *Lineages of the Absolutist State*, and Wallerstein’s *The Modern World-System* and consider the following sets of issues:

1. Overall, do you see significant variations in the ways the three authors seem to be using secondary sources? Are different types of publications being cited in the notes? How informative are the notes about the uses being made of the books and articles cited? Does one scholar cite sources in greater density or detail; or are there differences within each book between the English and French case-studies? Are citations sometimes too vague, too sparse, or too dense?
2. Find one or two places where the authors are offering strikingly divergent interpretations of “the same” historical events or processes/ Are they citing different secondary sources to support these divergent interpretations, or drawing different conclusions from the same ones? Whose marshalling of secondary evidence on the disputed point do you find most convincing? Why?
3. Find a place where each scholar is disagreeing with the views or findings of a secondary source. Do you learn enough from the text or the footnotes to tell why the sociologist has decided to “second guess” the historian?
4. Find a place where each scholar mentions an ongoing debate among specialists, and then “take sides”. Do we learn enough from the text or the footnotes to be sure that the sociologist has taken a valid position? How would we know? Should we care?
5. Find one or two secondary sources that are used by all three of these historical sociologists. Go look at the sources. What relationship do their purposes and data bear to the projects of Moore, Anderson, and Wallerstein, and what problems might divergences create for the valid use of the study by

each historical sociologists? Trace the respective footnotes of Moore, Anderson, and Wallerstein. Can you conclude anything about the precision, reliability, and validity with which each is making use of the common secondary source?

*This exercise was developed by Theda Skocpol, Harvard University.

Week 4. (3/2) States/Markets Nexus (I): Herman Schwartz

Background Readings:

Core Readings:

Schwartz, Herman. 2000. States versus Markets: The Emergence of a Global Economy. New York: Palgrave Macmillan. Pp. 1-101.

Week 5 (3/9) States/Markets Nexus (II): Herman Schwartz

Core Readings:

Schwartz, Herman. 2000. States versus Markets: The Emergence of a Global Economy. New York: Palgrave Macmillan. Pp. 102-218

Week 6 (3/16) States/Markets Nexus (III): Herman Schwartz

Core Readings:

Schwartz, Herman. 2000. States versus Markets: The Emergence of a Global Economy. New York: Palgrave Macmillan. Pp. 219-318

Further Readings:

Chilcote, Ronald. 2000. Theories of Comparative Political Economy. Boulder: Westview

Przewoski, Adam. 2003. States and Markets: A Premier in Political Economy. Cambridge: Cambridge University Press.

Boyer, Robert and Daniel Drache. 1996. States against Markets: The Limits of Globalization. London and New York: Routledge.

PART II: VARIETIES OF CAPITALISMS

**Week 7 (3/23) An Introduction to Varieties of Capitalism: Peter Hall
and David Soskice, Wolfgang Streeck**

Background Readings:

- Albert, Michael. 1991. *Capitalism vs. Capitalism*. New York: Four Walls, Eight Windows.
- Hollingsworth, J. Rogers and Robert Boyer. 1997. "Coordination of Economic Actors and Social Systems of Production." Pp. 1-48 in *Contemporary Capitalism: The Embeddedness of Institutions*, edited by J. Rogers Hollingsworth and Robert Boyer. Cambridge: Cambridge University Press.
- Soskice, David. 1999. "Divergent Production Regimes: Coordinated and Uncoordinated Market Economies in the 1980s and 1990s." Pp. 101-134 in *Continuity and Change in Contemporary Capitalism*, edited by Herbert Kitschelt, Peter Lange, Gary Marks, John Stephens. Cambridge: Cambridge University Press.

Core Readings:

- Hall, Peter and David Soskice. 2001. "An Introduction to Varieties of Capitalism." Pp.1-70 in *Varieties of Capitalism*, edited by Peter Hall and David Soskice. Oxford: Oxford University Press.
- Streeck, Wolfgang. 2001. "Introduction: Explorations into the Origins of Nonliberal Capitalism in Germany and Japan." Pp.1-38 in *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*, edited by Wolfgang Streeck and Kozo Yamamura. Ithaca and London: Cornell University Press.

Further Readings:

- Boyer, Robert and J. Rogers Hollingsworth. 1997. "From National Embeddedness to Spatial and Institutional Nestedness." Pp. 433-484 in *Contemporary Capitalism: The Embeddedness of Institutions*, edited by J. Rogers Hollingsworth and Robert Boyer. Cambridge: Cambridge University Press.
- Boyer, Robert. 1996. "The Convergence Hypothesis Revisited: Globalization but Still the Century of Nations?" Pp. 29-59 in *National Diversity and Global Capitalism*, edited by Suzanne Berger and Ronald Dore. Ithaca and London: Cornell University Press.
- Zysman, John. 1996. "The Myth of a 'Global' Economy: Enduring National Foundations and Emerging Regional Realities." *New Political Economy* 1(2): 157-84.

****Additional Topic: Stock Market Capitalism versus Welfare Capitalism:
Ronald Dore**

Background Readings:

- Dore, Ronald. 1973. *British Factory – Japanese Factory: The Origins of National Diversity in Industrial Relations*. Berkeley: University of California Press.
- Dore, Ronald. 1986. *Flexible Rigidities: Industrial Policy and Structural Adjustment in Japan, 1970-1980*. ???: Athlone Press.
- Dore, Ronald. 1987. *Taking Japan Seriously: A Confucian Perspective on Leading Economic Issues*. Stanford: Stanford University Press.

Core Readings:

- Dore, Ronald. 2000. *Stock Market Capitalism: Welfare Capitalism: Japan and Germany versus the Anglo-Saxons*. Oxford: Oxford University Press.

Further Readings:

- Berger, Suzanne and Ronald Dore, eds. 1996. *National Diversity and Global Capitalism*. Ithaca: Cornell University Press.
- Coates, David. 2000. *Models of Capitalism: Growth and Stagnation in the Modern Era*. Cambridge: Polity.
- Crouch, Colin and Wolfgang Streeck. 1997. *Political Economy of Modern Capitalism: Mapping Convergence and Diversity*. London: Sage.
- Hollingsworth, J. Rogers and Robert Boyer, eds. 1997. *Contemporary Capitalism: The Embeddedness of Institutions*. Cambridge: Cambridge University Press.
- Kitschelt, Herbert, et al. eds. 1999. *Continuity and Change in Contemporary Capitalism*. Cambridge: Cambridge University Press.
- Boyer, Robert and Toshio Yamada, eds. 2000. *Japanese Capitalism in Crisis: A Regulationist Interpretation*. London and New York: Routledge.
- Whitley, Richard. 1999. *Divergent Capitalisms: The Social Structuring and Change of Business System*. Oxford: Oxford University Press.

Week 8 (3/30) The Origin of Nonliberal Capitalism: Germany and Japan in Comparison

Background Readings:

- Dore, Ronald. 1997. "The Distinctiveness of Japan." Pp. 19-32 in *Political Economy of Modern Capitalism*, edited by Colin Crouch and Wolfgang Streeck. London:

Sage.

Streeck, Wolfgang. 1997. "German Capitalism: Does it Exist? Can it Survive?" Pp. 32-54 in *Political Economy of Modern Capitalism*, edited by Colin Crouch and Wolfgang Streeck. London: Sage.

Core Readings:

Lehmbruch, Gerhard. 2001. "The Institutional Embedding of Market Economies: The German 'Model' and Its Impact on Japan." Pp. 39-93 in *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*, edited by Wolfgang Streeck and Kozo Yamamura. Ithaca and London: Cornell University Press.

Manow, Philp. 2001. "Welfare State Building and Coordinated Capitalism in Japan." Pp. 94-120 in *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*, edited by Wolfgang Streeck and Kozo Yamamura. Ithaca and London: Cornell University Press.

Vitols, Sigurt. 2001. "The Origins of Bank-Based and Market-Based Financial Systems: Germany, Japan, and the United States." Pp.171-199 in *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*, edited by Wolfgang Streeck and Kozo Yamamura. Ithaca and London: Cornell University Press.

Further Readings:

Jackson, Gregory. 2001. "The Origins of Nonliberal Corporate Governance in Germany and Japan." Pp. 121-170 in *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*, edited by Wolfgang Streeck and Kozo Yamamura. Ithaca and London: Cornell University Press.

Thelen, Kathleen and Ikuo Kume. 2001. "The Rise of Nonliberal Training Regimes: Germany and Japan Compared." Pp. 200-228 in *The Origins of Nonliberal Capitalism: Germany and Japan in Comparison*, edited by Wolfgang Streeck and Kozo Yamamura. Ithaca and London: Cornell University Press.

King, Desmond Stewart Wood. "The Political Economy of Neoliberalism: Britain and the United States in the 1980s." Pp. 371-388 in *Continuity and Change in Contemporary Capitalism*, edited by Herbert Kitschelt et al. Cambridge: Cambridge University Press.

Campbell, John and Ove Pedersen, eds. 2001. *The Rise of Neoliberalism and Institutional Analysis*. Princeton: Princeton University Press.

Week 9 (4/6)

**Historical Sociology of The Wealth of States (I):
John Hobson**

Background Readings:

Weiss, Linda and John Hobson. 1995. *States and Economic Development: A Comparative Historical Analysis*. Cambridge: Polity.

Core Readings:

Hobson, John. 1997. *The Wealth of States: A Comparative Sociology of International Economic and Political Change*. Cambridge: Cambridge University Press. Pp. 1-114.

Week 10 (4/13) Mid-term Exam

**Week 11 (4/20) Historical Sociology of The Wealth of States (II):
John Hobson**

Core Readings:

Hobson, John. 1997. *The Wealth of States: A Comparative Sociology of International Economic and Political Change*. Cambridge: Cambridge University Press. Pp. 115-220.

**Week 12 (4/27) Historical Sociology of The Wealth of States (III):
John Hobson**

Core Readings:

Hobson, John. 1997. *The Wealth of States: A Comparative Sociology of International Economic and Political Change*. Cambridge: Cambridge University Press. Pp. 221-279.

Hobson, John. 2003. "Disappearing Taxes or the 'Race to the Middle? Fiscal Policy in the OECD." Pp. 37-57 in *States in the Global Economy: Bringing Domestic Institutions Back In*, edited by Linda Weiss. Cambridge: Cambridge University Press.

Further Readings:

Steinmo, Sven. 1993. *Taxation and Democracy: Swedish, British, and American Approaches to Financing the Modern State*. New Haven and London: Yale University Press.

World Bank. 1997. *World Development Report 1997: The State in a Changing World*. Oxford: Oxford University Press.

PART III. STATE-LED CAPITALISM: THE DEVELOPMENTAL STATE

REVISITED

Week 13 (5/4) The Developmental State Paradigm Revisited: Chalmer Johnson, Meredith Woo-Cumings, Bruce Cumings

Background Readings:

Onis, Ziya. 1991. "The Logic of the Development State." *Comparative Politics* 24: 109-126.

Johnson, Charles. 1982, *MITI and the Japanese Miracle*. Stanford: Stanford University Press.

鄭為元，1999，發展型「國家」或發展型國家「理論」的終結？。頁 1-68，《台灣社會研究季刊》，第三十四期。

Core Readings:

Woo-Cumings, Meredith. 1999. "Introduction: Chalmers Johnson and the Politics of Nationalism and Development." Pp. 1-31 *The Developmental State*, edited by Meredith Woo-Cumings. Ithaca and London: Cornell University Press.

Johnson, Charles. 1999. "The Developmental State: Odyssey of a Concept." Pp. 32-60 in *The Developmental State*, edited by Meredith Woo-Cumings. Ithaca and London: Cornell University Press.

Cumings, Bruce. 1999. "Webs with No Spiders, Spiders with No Webs: The Genealogy of the Developmental State." Pp. 93-136 in *The Developmental State*, edited by Meredith Woo-Cumings. Ithaca and London: Cornell University Press.

Further Readings:

Evans, Peter. 1992. "The State as Problem and Solution: Predation, Embedded Autonomy, and Structural Change." Pp. 139-181 in *The Politics of Economic Adjustment*, edited by Stephen Haggard and Robert Kaufman. Princeton: Princeton University Press

Evans, Peter. 1997. "The Eclipse of the State? Reflections on Stateness in an Era of Globalization." *World Politics* 50 (1): 62-87.

Chibber, Vivek. 2002. "Bureaucratic Rationality and the Developmental State." *American Journal of Sociology* 107: 951-89.

Pempel, T.J. 1999. "The Developmental Regime in a Changing World Economy." Pp. 137-182 in *The Developmental State*, edited by Meredith Woo-Cumings. Ithaca and London: Cornell University Press.

- Leftwich, Adrian. 1995. "Bringing Politics Back In: Toward a Model of the Developmental State." *The Journal of Development Studies* 31: 400-427.
- Robinson, Mark and Gordon White, eds. 1998. *The Democratic Developmental State: Politics and Institutional Design*. Oxford: Oxford University Press.

Week 14 (5/11) The Taiwanese Developmental State

Background Readings:

- Amsden, Alice. 1979. "Taiwan's Economic History: A case of Etatism and a Challenge to Dependency Theory." *Modern China* 5: 341-380.
- Amsden, Alice. 1985. "The State and Taiwan's Economic Development." Pp. 78-107 in *Bringing the State Back IN*, edited by Peter Evans et al. Cambridge: Cambridge University Press.
- White, Gordon, ed. 1988. *Developmental States in East Asia*. London: Macmillan.
- Douglass, M. 1994. "The 'Developmental State' and the Newly Industrializing Economies of Asia." *Environment and Planning A* 26: 543-566.
- Castles, Manuel. 1992. "Four Asian Tigers with a Dragon Head: A Comparative Analysis of the State, Economy, and Society in the Asian Pacific Rim." Pp 33-70 in *States and Development in the Asian Pacific Rim*, edited by Richard Appelbaum and Jeffrey Henderson. Newbury Park: Sage.
- Henderson, Jeffrey. 1993. "Against the Economic Orthodox: On the Making of the East Asian Miracle." *Economy and Society* 22: 200-217.

Core Reading:

Further Readings:

- Amsden, Alice. 2001. *The Rise of the "Rest": Challenges to the West from Late Industrializing Economies*. Oxford: Oxford University Press.
- Chu, Yun-han. 1995. "The East Asian NICs: A State-led Path to the Developed World." Pp. 199-238 in *Global Change, Regional Response: The New International Context of Development*, edited by Barbara Stallings. Cambridge: Cambridge University Press.
- Fields, Karl. 1997. "Strong States and Business Organization in Korea and Taiwan." Pp. 122-151 in *Business and the State in Developing Countries*, edited by Sylvia Maxfield and Ben Ross Schneider. Ithaca and London: Cornell University Press.
- Aberbach, Joel, et al. eds. 1994. *The Role of the State in Taiwan's Development*. Armonk: M.E. Sharpe.

- Fields, Karl. 1995. *Enterprise and the State in Korea and Taiwan*. Ithaca and London: Cornell University Press.
- Gold, Thomas. 1986. *State and Society in the Taiwan Miracle*. Armonk, New York: M. E. Sharpe.
- Wade, Robert. 1990. *Governing the Market: Economic Theory and the Role of Government in East Asian Industrialization*. Princeton: Princeton University Press.
- Hsueh, Li-min, Chen-Kuo Hsu, and Dwight H. Perkins. 2001. *Industrialization and the State: The Changing Role of the Taiwan Government in the Economy, 1945-1998*. New York: Harvard University Press.

Week 15 (5/18) Spring Exercise Day (No Class)

Week 16 (5/25) States in the Global Economy (I): The Resilience of the Welfare States

Background Reading:

- Pierson, Paul. 2001. "Investigating the Welfare State at Century's End." Pp. 1-16 in *The New Politics of the Welfare State*, edited by Paul Pierson. Oxford: Oxford University Press.
- Clayton, Richard and Jonas Pontusson. 1998. "Welfare State Restructuring Revisited: Entitlement Cuts, Public Sector Restructuring, and Inegalitarian Trends in Advanced capitalist Democracies." *World Politics* 51(1): 67-98.
- Pierson, Paul. 1996. "The New Politics of Welfare." *World Politics* 48 (2): 143-79

Core Readings:

- Weiss, Linda. 2003. "Introduction: Bringing Domestic Institutions Back In." Pp. 1-36 in *States in the Global Economy: Bringing Domestic Institutions Back In*, edited by Linda Weiss. Cambridge: Cambridge University Press.
- Swank, Duane. 2003. "Withering Welfare? Globalization, Political Economic Institutions, and Contemporary Welfare States." Pp. 58-82 in *States in the Global Economy: Bringing Domestic Institutions Back In*, edited by Linda Weiss. Cambridge: Cambridge University Press.
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Week 18 (6/8) The Rise of Neoliberalism and Institutional Analysis

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